

12-10-01

PTO/SB05 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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# UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 1391-27000  
First Inventor Abbas Arian  
Title Wideband Isolator for Acoustic Tools  
Express Mail Label No. EV 041362485 US

(Only for new nonprovisional applications under 37 CFR 1.53(b))

## APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO: Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.  
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 14]  
(preferred arrangement set forth below)
  - Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R & D
  - Reference to sequence listing, a table, or a computer program listing appendix
  - Background of the Invention
  - Brief Summary of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath and Declaration [Total Pages 1]
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 18 completed)
    - i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☒ Application Data Sheet. See 37 CFR 1.76
7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Form (CRF)
  - b. Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statements verifying identity of above copies

## ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney  
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122(b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-Part (CIP)  
Prior application information: Examiner

of prior application No.:  
Group/Art Unit:

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

## 18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label 23505 or ☐ Correspondence address below

Name

Address

City

State

Zip Code

Country

Telephone

Fax

Name (Print/Type)

DEREK V. FORINASH

Registration No. (Attorney/Agent)

47,231

Signature

Derek V. Forinash

Date December 7, 2001

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# FEE TRANSMITTAL

## For FY 2002

Patent fees are subject to annual revision.

### Complete if Known

Application Number  
Filing Date  
First Named Inventor Abbas Arian  
Examiner Name  
Group Art Unit  
Attorney Docket No. 1391-27000

TOTAL AMOUNT OF PAYMENT \$ 972.00

### METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:  
Deposit Account Number: 03-2769  
Deposit Account Name: Conley, Rose & Tayon, P.C.  
☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17  
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:  
☐ Check ☐ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### 1. BASIC FILING FEE

| Large Entity | Small Entity | Fee | Fee | Fee Description        | Fee Paid |
|--------------|--------------|-----|-----|------------------------|----------|
| Code (\$)    | Code (\$)    |     |     |                        |          |
| 101          | 201          | 740 | 370 | Utility filing Fee     | \$740.00 |
| 106          | 206          | 330 | 165 | Design filing fee      | \$       |
| 107          | 207          | 510 | 255 | Plant filing fee       | \$       |
| 108          | 208          | 740 | 370 | Reissue filing fee     | \$       |
| 114          | 214          | 160 | 80  | Provisional filing fee | \$       |

SUBTOTAL (1) \$740.00

#### 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid   |
|--------------------|--------------|----------------|------------|
| 26                 | - 20** = 6 x | 18.00          | = \$108.00 |
| Independent        | 4 3** = 1 x  | 84.00          | = \$ 84.00 |
| Claims             |              |                |            |
| Multiple Dependent |              | 280.00         | = \$ 00.00 |

| Large Entity | Small Entity | Fee | Fee | Fee Description  |
|--------------|--------------|-----|-----|--|
| Code (\$)    | Code (\$)    |     |     |  |
| 103          | 203          | 18  | 9   | Claims in excess of 20                                     |
| 102          | 202          | 84  | 42  | Independent Claims in excess of 3                          |
| 104          | 204          | 280 | 140 | Multiple dependent claim, if not paid                      |
| 109          | 209          | 84  | 42  | ** Reissue independent claims over original patent         |
| 110          | 210          | 18  | 9   | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) \$192.00

\*\* or number previously paid, if greater; For Reissues, see above

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

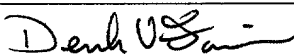
| Large Entity        | Small Entity | Fee   | Fee    | Fee Description  | Fee Paid |
|---------------------|--------------|-------|--------|--|----------|
| Code (\$)           | Code (\$)    |       |        |  |          |
| 105                 | 205          | 130   | 65     | Surcharge - late filing fee or oath  | \$       |
| 127                 | 227          | 50    | 25     | Surcharge - late provisional filing fee or cover sheet                     | \$       |
| 139                 | 139          | 130   | 130    | Non-English specification  | \$       |
| 147                 | 147          | 2,520 | 2,520  | For filing a request for <i>ex parte</i> reexamination                     | \$       |
| 112                 | 920*         | 112   | 920*   | Requesting publication of SIR prior to Examiner action                     | \$       |
| 113                 | 1,840*       | 113   | 1,840* | Requesting publication of SIR after Examiner action                        | \$       |
| 115                 | 110          | 215   | 55     | Extension for reply within first month                                     | \$       |
| 116                 | 400          | 216   | 200    | Extension for reply within second month                                    | \$       |
| 117                 | 920          | 217   | 460    | Extension for reply within third month                                     | \$       |
| 118                 | 1,440        | 218   | 720    | Extension for reply within fourth month                                    | \$       |
| 128                 | 1,960        | 228   | 980    | Extension for reply within fifth month                                     | \$       |
| 119                 | 320          | 219   | 160    | Notice of Appeal   | \$       |
| 120                 | 320          | 220   | 160    | Filing a brief in support of an appeal                                     | \$       |
| 121                 | 280          | 221   | 140    | Request for oral hearing   | \$       |
| 138                 | 1,510        | 138   | 1,510  | Petition to institute a public use proceeding                              | \$       |
| 140                 | 110          | 240   | 55     | Petition to revive - unavoidable   | \$       |
| 141                 | 1,280        | 241   | 640    | Petition to revive - unintentional   | \$       |
| 142                 | 1,280        | 242   | 640    | Utility issue fee (or reissue)   | \$       |
| 143                 | 460          | 243   | 230    | Design issue fee   | \$       |
| 144                 | 620          | 244   | 310    | Plant issue fee  | \$       |
| 122                 | 130          | 122   | 130    | Petitions to the Commissioner  | \$       |
| 123                 | 50           | 123   | 50     | Petitions related to provisional applications                              | \$       |
| 126                 | 180          | 126   | 180    | Submission of Information Disclosure Stmt                                  | \$       |
| 581                 | 40           | 581   | 40     | Recording each patent assignment per property (times number of properties) | \$40.00  |
| 146                 | 740          | 246   | 370    | Filing a submission after final rejection (37 CFR § 1.129(a))              | \$       |
| 149                 | 740          | 249   | 370    | For each additional invention to be examined (37 CFR § 1.129(b))           | \$       |
| 179                 | 740          | 279   | 370    | Request for Continued Examination (RCE)                                    | \$       |
| 169                 | 900          | 169   | 900    | Request for expedited examination of a design application                  | \$       |
| Other fee (specify) |              |       |        |  | \$       |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

### SUBMITTED BY

### Complete (if applicable)

|                   |   |                                   |        |           |                  |
|-------------------|---|-----------------------------------|--------|-----------|------------------|
| Name (Print/Type) | DEREK V. FORINASH   | Registration No. (Attorney/Agent) | 47,231 | Telephone | (713) 238-8000   |
| Signature         |  |                                   |        | Date      | December 7, 2001 |

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